

## **PRIVACY NOTICE**

Joss Brown Wealth Advisors Group, LLC (referred to as “JBWA”) maintains physical, electronic, and procedural safeguards that comply with federal standards to protect its clients’ nonpublic personal information (“information”). Through this policy and its underlying procedures, JBWA attempts to secure the confidentiality of client records and information and protect against anticipated threats or hazards to the security or integrity of client records and information.

It is the policy of JBWA to restrict access to all current and former clients’ information (i.e., information and records about personal background, investment objectives, financial situation, tax information/returns, investment holdings, account numbers, account balances, etc.) to those employees and affiliated/nonaffiliated entities who need to know that information to provide products or services to the client. JBWA may disclose the client’s information if JBWA is: (1) previously authorized to disclose the information to individuals and/or entities not affiliated with JBWA, including, but not limited to the client’s other professional advisors and/or service providers (i.e., attorney, accountant, insurance agent, broker-dealer, investment adviser, account custodian, etc.); (2) required to do so by a judicial or regulatory process; or (3) otherwise permitted to do so following the parameters of applicable federal and/or state privacy regulations. The disclosure of information contained in any document completed by the client for processing and/or transmittal by JBWA to facilitate the commencement/ continuation/ termination of a business relationship between the client and a nonaffiliated third party service provider (i.e., broker-dealer, investment adviser, account custodian, an insurance company, etc.), including information contained in any document completed and/or executed by the client for JBWA (i.e., advisory agreement, client information form, etc.), shall be deemed as having been automatically authorized by the client concerning the corresponding non-affiliated third-party service provider.

JWBA collects your phone number through the contact information form, and with your consent JWBA will send you text messages on a semi-annual basis if you’ve opted in. Reply STOP at any time to unsubscribe from text messages. JWBA will never share your customer data with third parties for marketing or promotional purposes.

JBWA permits only authorized employees and affiliates who have signed a copy of JBWA’s Privacy Policy to have access to client information. Employees violating JBWA’s Privacy Policy will be subject to JBWA’s disciplinary process. Additionally, whenever JBWA hires other organizations to provide services to JBWA’s clients, JBWA will require them to sign confidentiality agreements and/or the Privacy Policy.